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UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: ZENG et al.  
 Serial No.: 10/533,623 Docket: 09548.1000USWO  
 Filed: April 29, 2005  
 Title: A OPTICAL BIOPSY METHOD FOR PRECANCEROUS LESION  
 DIGNOSIS AND AN ENDOSCOPE APPARATUS THEREOF

CERTIFICATE UNDER 37 CFR 1.8:  
 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail,  
 with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-  
 1450 on January 18, 2006.  
 By:   
 Name: Antonette Peters

Mail Stop PCT  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

52835  
 PATENT TRADEMARK OFFICE

RECEIVED

31 JAN 2006

Commissioner:


The following papers are transmitted herewith:

Legal Staff  
 International Division

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Notification of Missing Requirements Under 35 USC 371
- ☒ Signed Combined Declaration and Power of Attorney, Declaration by Zhouran HUANG
- ☒ Preliminary Amendment (3 pages)
- ☒ 5 sheets of formal drawings, Communication regarding Submission of Formal Drawings
- ☒ Check in the amount of \$65 for the Submission of Missing Parts – Small Entity and \$130 for the processing fee for additional inventor
- ☒ Applicant hereby claims Small Entity Status
- ☒ Other: Communication regarding Submission of Missing Requirements, Form PCT/IB/338
- ☒ Return Postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C.  
 P.O. Box 2902-0902 Minneapolis, MN 55402  
 612.455-3800

By:   
 Name: Michael D. Schumann  
 Reg. No.: 30,422  
 Initials: MDS/acp

Rec'd PCT/PTO 20 JAN 2006

S/N 10/533,623

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: ZENG et al. Serial No.: 10/533,623  
Filed: April 29, 2005 Docket No.: 09548.1000USWO  
Title: A OPTICAL BIOPSY METHOD FOR PRECANCEROUS LESION  
DIAGNOSIS AND AN ENDOSCOPE APPARATUS THEREOF

CERTIFICATE UNDER 37 CFR 1.6(d):

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on January 18, 2006.

By:   
Name: Antonette C Peters

SUBMISSION OF MISSING REQUIREMENTS

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

31 JAN 2006

Legal Staff  
International Division

Dear Commissioner:

In connection with the above-identified application, enclosed please find the originally signed Combined Declaration and Power of Attorney. Zhouan HUANG is being added as a third inventor. Enclosed is a Declaration by Zhouan HUANG, stating that he was erroneously omitted from the PCT application without deceptive intention on his part.

The Applicant hereby claims Small Entity Status.

Enclosed are checks in the amount of \$65 to cover the Missing Requirements completion fee for a Small Entity and \$130 for processing the addition of the third inventor.

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER &  
LARSON, P.C.  
P.O. Box 2902-0902  
Minneapolis, MN 55402-0902  
(612) 455-3800

**52835**

PATENT TRADEMARK OFFICE

Dated: January 18, 2006

By: 

Michael D. Schumann  
Reg. No. 30,422  
MDS/acp



## UNITED STATES PATENT AND TRADEMARK OFFICE

Rec'd PCT/PTO 20 JAN 2006

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/533,623	Kun Zeng	9548.1000USWO

INTERNATIONAL APPLICATION NO.
PCT/CN03/00917

I.A. FILING DATE	PRIORITY DATE
10/29/2003	10/31/2002

52835

HAMRE, SCHUMANN, MUELLER & LARSON, P.C.  
 P.O. BOX 2902-0902  
 MINNEAPOLIS, MN 55402

MR2: 2/7/06  
 PTA/MR3: 3/7/06  
 MRStat: 6/7/06

Date Mailed: 12/07/2005

CONFIRMATION NO. 1601

371 FORMALITIES LETTER

\*OC000000017140487\*

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/29/2005
- English Translation of the IA filed on 04/29/2005
- Copy of the International Search Report filed on 04/29/2005
- Request for Immediate Examination filed on 04/29/2005
- Copy of references cited in ISR filed on 04/29/2005
- U.S. Basic National Fees filed on 04/29/2005
- Priority Documents filed on 04/29/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/533,623	PCT/CN03/00917	9548.1000USWO

FORM PCT/DO/EO/905 (371 Formalities Notice)

Rec'd PCT/PTO 20 JAN 2006

S/N 10/533,623

PATENT

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CERTIFICATE UNDER 37 CFR 1.6(d):

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on January 18, 2006.

By: 

Name: Antonette C Peters

SUBMISSION OF FORMAL DRAWINGS

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Commissioner:

Submitted herewith are 5 sheet(s) of Formal Drawings for completion of this application.

If a telephone conference would be helpful in resolving any issues concerning this communication, please contact Applicants' primary attorney-of record, Michael D. Schumann (Reg. No. 30,422), at (612)455.3803.

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER &  
LARSON, P.C.  
P.O. Box 2902-0902  
Minneapolis, MN 55402-0902  
(612) 455-3800

By: 

Michael D. Schumann  
Reg. No. 30,422  
MDS/acp

Dated: January 18, 2006

**52835**

PATENT TRADEMARK OFFICE